Guideline for Identification and Labelling of Pharmaceutical Products

Template and Guidance

Version 1.2, February 2022
The USAID Global Health Supply Chain Program-Procurement and Supply Management (GHSC-PSM) project is funded under USAID Contract No. AID-OAA-I-15-0004. GHSC-PSM connects technical solutions and proven commercial processes to promote efficient and cost-effective health supply chains worldwide. Our goal is to ensure uninterrupted supplies of health commodities to save lives and create a healthier future for all. The project purchases and delivers health commodities, offers comprehensive technical assistance to strengthen national supply chain systems, and provides global supply chain leadership.


DISCLAIMER:
The views expressed in this publication do not necessarily reflect the views of the U.S. Agency for International Development or the U.S. government.
Introduction

The Guideline for Identification and Labelling of Pharmaceutical Products Template and Guidance document is intended for use by ministries of health (MoHs) and/or national drug regulatory authorities (NDRAs). It is designed to support implementation of existing regulation and statutory instruments such as ministerial orders on medicines control and packaging/labelling of medicines in the country. A guideline for identification and labelling of pharmaceutical products should provide those trading partners subject to an overarching regulation the information required to effectively comply. Where such instruments do not exist, the NDRA should decide on the applicable country framework to enforce this document.

This resource may be used alongside the Model Directive for Traceability Regulation Template and Guidance.1 The model directive helps countries develop regulation and includes guidance on how to identify gaps and recognize areas of enhancement to support the traceability implementation process.

How to Use this Document

The document includes two sections: guidance and template. The guidance section provides key considerations that authorities should use as decision points to aid in applying the template to the local context.

The template provides a structure and illustrative text that can be adapted and adopted to define and convey product and identification guidelines, as they relate to GS1 global standards, for pharmaceutical manufacturers and suppliers, and other stakeholders, such as marketing authorization holders, donors, and care providers. The user will need to change the structure and content of the template to reflect context-specific requirements. If the language is adopted as is, the fields denoted in blue will need to be updated to reflect context-specific information. Also, where illustrative objectives are included, you will need to adapt these to reflect context-specific objectives. In the template, these objectives and organizations are depicted in blue italics.

This template and guidance document is intended for use by MoHs and/or NDRAs in implementing pharmaceutical traceability and/or standards adoption strategies. Each regulator must assess the current status and readiness of its market in meeting the described requirements to inform a) the extent to which requirements are already being deployed b) market readiness to comply with the requirements detailed in the guideline, and c) any gaps that may exist in certain market segments (e.g., domestic manufacturing, specialized goods) that may require alternative implementation timelines.

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1 Available: https://www.ghsupplychain.org/index.php/TraceabilityModelDirective
## Key Considerations

<table>
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<tr>
<th>Focus Area</th>
<th>Considerations</th>
<th>Template Section</th>
</tr>
</thead>
</table>
| **Introduction** | - Outline the goals that have been identified in the country’s National Traceability Strategy that will be achieved through the product identification and labelling guideline.  
- Ensure the purpose of the document is aligned with the country’s intention.  
- Ensure the scope of products affected by the guideline is aligned with the scope described in the regulation. | Section 1 |
| **Product Identification and Labelling Requirement for Pharmaceuticals** | - Refer to existing regulation to determine whether serialization is in scope for product identification and labelling.  
- Ensure dates for compliance with labelling and packaging requirements align with those mandated in the regulation.  
- Incorporate additional guidance or nuances that are described in the regulation but not included in this document.  
- Does not include primary packaging because identification and labelling of primary packaging is generally not regulated at this time, due to technical challenges with implementation. | Section 2 |
| **Description of Packaging Levels** | - This section is generic and should largely be applicable to any country implementation.  
- Primary packaging is included to define and differentiate from other levels of packaging.  
- If more information is required, consult the GS1 General Specifications and the GS1 AIDC Healthcare Implementation Guideline, or their GS1 Member Organization for more information. | Sections 3 |
| **Overview of Relevant Global Standards** | - This section is generic and should largely be applicable to any country implementation.  
- If more information is required, consult the GS1 General Specifications and the GS1 AIDC Healthcare Implementation Guideline, or their GS1 Member Organization for more information. | Section 4 |
| **Additional Resources** | - This section is generic and should largely be applicable to any country implementation. Confirm that resources reflect the latest version of reference documents, as these are periodically updated before publishing as part of the guideline.  
- Consider whether to add any additional national references that may support implementation | Section 5 |
| **Glossary of Terms** | - This section is generic and should largely be applicable to any country implementation.  
- Consider whether to add or adjust any additional definitions based on the relevant regulation | Annex A |
Guideline for Identification and Labelling of Pharmaceutical Products

Government of [Country]

Reference Regulation: No. .... of .... /.... /2021

For Inquiries: [INSERT CONTACT INFORMATION]

For the latest updates, please visit the [NDRA] website: [INSERT WEBSITE]
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### Acronyms

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>2D</td>
<td>two-dimensional</td>
</tr>
<tr>
<td>AI</td>
<td>application identifier</td>
</tr>
<tr>
<td>AIDC</td>
<td>automatic identification and data capture</td>
</tr>
<tr>
<td>FNC1</td>
<td>Function 1 Symbol Character</td>
</tr>
<tr>
<td>GTIN</td>
<td>Global Trade Item Number</td>
</tr>
<tr>
<td>HRI</td>
<td>Human Readable Interpretation</td>
</tr>
<tr>
<td>SCCC</td>
<td>Serial Shipping Container Code</td>
</tr>
</tbody>
</table>
# Revision History

<table>
<thead>
<tr>
<th>Version</th>
<th>Author</th>
<th>Date</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.2</td>
<td>Alexandra Malek</td>
<td>February 2022</td>
<td>Additional explanation added to section 3.1.1 and addition of GS1 DataMatrix to section 3.2</td>
</tr>
</tbody>
</table>
1. Introduction

The "Guideline for Identification and Labelling of Pharmaceutical Products" document outlines implementation requirements for those stakeholders in scope for meeting the identification and labelling provisions outlined in the [NAME OF STATUTORY INSTRUMENT].

[NAME OF STATUTORY INSTRUMENT] is established under the [ACT] whose main mandate is to: [for example: is to ensure that all medicines and allied substances being made available to the country citizens consistently meet the set standards of quality, safety and efficacy]. With this mandate comes a need to provide guidance for complying with this [ACT], leveraging global standards to provide simplicity and consistency for product identification and labelling. This guideline will enable identification, automated data capture, and exchange of data about these items in ways that can be used in any industry, in any country, and with any trading partner.

1.1. Rationale

By leveraging existing global standards for labelling and packaging of pharmaceutical products [NDRA] hopes to create efficiencies in the public and private health supply chains through standardized identification, automated data capture, and decreased cost in gaining compliance.

1.2. Purpose

This document is intended to provide trading partners subject to [ACT] with further information on how to implement [NDRA] regulations on labelling pharmaceutical products and medicines to be distributed in the [COUNTRY] market. The information in this document is informed by existing good practices and GS1 global standards for labelling and packaging.

1.3. Scope

This document applies to all products that fall within the definition of pharmaceutical products per [NAME OF STATUTORY INSTRUMENT].
2. Product Identification and Labelling Requirements for Pharmaceuticals

This section describes how to implement the product identification and labelling requirements as mandated in the referenced regulations. Readers should consult the GS1 General Specifications\(^2\) and the GS1 Automatic Identification and Data Capture (AIDC) Healthcare Implementation Guideline,\(^3\) or their GS1 Member Organization, for additional information.

2.1. Tertiary pack trade item

All tertiary pack trade item packages must include a GS1-128 Linear Barcode or a GS1 two-dimensional (2D) DataMatrix barcode encoded with the following information and printed adjacent to the data carrier in Human Readable Interpretation HRI):

<table>
<thead>
<tr>
<th>AI</th>
<th>Description</th>
<th>Required by</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>GTIN</td>
<td>No later than [DDMonthYY]</td>
</tr>
<tr>
<td>10</td>
<td>Batch/Lot</td>
<td>No later than [DDMonthYY]</td>
</tr>
<tr>
<td>17</td>
<td>Expiration Date</td>
<td>No later than [DDMonthYY]</td>
</tr>
<tr>
<td>21</td>
<td>Serial Number</td>
<td>No later than [DDMonthYY]</td>
</tr>
</tbody>
</table>

An example of this in practice on a 2D DataMatrix:

```
(01) 10857674002017
(17) 251231
(10) NYFUL01
(21) 192A837H7
```

An example of this in practice on a GS1-128 Linear Barcode:

```
(01)10857674002017(17)251231(10)NYFUL01(21)192A837H7
```

Encoded in the data carrier, these examples will take on the following format:

```
FNC1 01 10857674002017 17 251231 10 NYFUL01 <GS> 21 21192A837H7
```

\(^2\) For more information, see [https://www.gs1.org/standards/barcodes-epcrfid-id-keys/gs1-general-specifications](https://www.gs1.org/standards/barcodes-epcrfid-id-keys/gs1-general-specifications)

\(^3\) For more information, see [https://www.gs1.org/docs/healthcare/GS1_Healthcare_Implementation_Guideline.pdf](https://www.gs1.org/docs/healthcare/GS1_Healthcare_Implementation_Guideline.pdf)
Read through AIDC technology, this example will take on the following format:

jd201108576740020171725123110NYFUL01<GS>21192A837H7

The probability that the serial number can be guessed will be negligible and, in any case, lower than one in ten thousand. The character sequence resulting from the combination of the product identifier and the serial number will be unique to a given pack of a medicinal product.

This guideline does not mandate the order in which data are encoded into the data carrier. However, for the most efficient encoding, it is recommended that fixed-length data elements precede variable-length elements. In this instance where a tertiary pack trade item is also considered a logistic unit, the Serial Shipping Container Code (SSCC) can be applied in lieu of a serial number.

2.2. Tertiary pack logistic unit

All tertiary pack logistic units must include a GS1-128 Linear Barcode\(^4\) encoded with the following information and printed adjacent to the data carrier in HRI:

<table>
<thead>
<tr>
<th>AI</th>
<th>Description</th>
<th>Required by</th>
</tr>
</thead>
<tbody>
<tr>
<td>00</td>
<td>SSCC</td>
<td>No later than <img src="DDMonthYY" alt="DDMonthYY" /></td>
</tr>
</tbody>
</table>

A SSCC may be re-used after a period of one year of the shipment date, as noted within the GS1 General Specifications.\(^5\)

An example of this in practice:

![Barcode Example](barcode-image)

Encoded in the data carrier, this example will take on the following format:

<table>
<thead>
<tr>
<th>FNC Opening Character</th>
<th>AI</th>
<th>SSCC</th>
</tr>
</thead>
<tbody>
<tr>
<td>FNC1</td>
<td>00</td>
<td>006141411234567890</td>
</tr>
</tbody>
</table>

Read through AIDC technology, this example will take on the following format:

jc10000614141123456789

---

\(^4\) Per the GS1 General Specifications (Release 19.1), trading partners have the option to include a GS1 2D DataMatrix in addition to the GS1-128 Linear Barcode on the logistic unit.

\(^5\) For more information, see GS1 General Specifications, Section 2.2.1, Individual Logistic Units – Application Description
2.3. Secondary pack trade item

All secondary trade item packaging, including inner and intermediate secondary packaging levels, must include a GS1 2D DataMatrix barcode encoded with the following information and printed adjacent to the data carrier in HRI:

<table>
<thead>
<tr>
<th>AI</th>
<th>Description</th>
<th>Required by</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>GTIN</td>
<td>No later than [DDMonthYY]</td>
</tr>
<tr>
<td>17</td>
<td>Expiration Date</td>
<td>No later than [DDMonthYY]</td>
</tr>
<tr>
<td>10</td>
<td>Batch/Lot</td>
<td>No later than [DDMonthYY]</td>
</tr>
<tr>
<td>21</td>
<td>Serial Number</td>
<td>No later than [DDMonthYY]</td>
</tr>
</tbody>
</table>

An example of this in practice:

(01) 10857674002017  
(17) 251231  
(10) NYFUL01  
(21) 192A837H7

Encoded in the data carrier, this example will take on the following format:

FNC Opening Character | AI | GTIN | AI | Expiration Date | AI | Batch/Lot Number | FNC Separator | AI | Serial Number
----------------------|----|------|----|-----------------|----|-----------------|--------------|----|-----------------|
FNC1                  | 01 | 10857674002017 | 17 | 251231          | 10 | NYFUL01         | <GS>         | 21 | 21192A837H7

Read through AIDC technology, this example will take on the following format:

jd201108576740020171725123110NYFUL01<GS>21192A837H7

The probability that the serial number can be guessed will be negligible and, in any case, lower than one in ten thousand. The character sequence resulting from the combination of the product identifier and the serial number will be unique to a given pack of a medicinal product.

This guideline does not mandate the order in which data are encoded into the data carrier. However, for the most efficient encoding, it is recommended that fixed-length data elements precede variable-length elements.
3. Description of Packaging Levels

This section includes descriptions of each level of the packaging hierarchy. Readers should consult the *GS1 General Specifications* and the *GS1 AIDC Healthcare Implementation Guideline*, or their GS1 Member Organization, for additional information.

3.1. Tertiary packaging

Tertiary packaging refers to upper levels of the packaging hierarchy. A tertiary pack may be:

- A pallet that contains (one or usually) several cases
- A case that contains (one or usually) several items in the items' primary or secondary packaging

Tertiary packaging may be used as either a logistics unit or a trade item. Tertiary packages can be homogenous (i.e., consisting entirely of the same trade item, batch/lot, and expiry), partial (i.e., consisting of a homogenous pack of items that is not to be considered a trade item because it is less than full), or mixed (i.e., either more than one unique trade item or entirely the same trade item with different batch numbers or expiration dates).

It is recommended that labels containing the barcode symbols, with associated HRI, be positioned on two faces of the tertiary packaging to enable ready access for scanning when the item is stored, stocked on shelves, or handled.

3.1.1. Tertiary package logistic unit

A logistic unit is an item of any composition established for transport and/or storage that needs to be managed through the supply chain. Often, the tertiary package logistic unit is a pallet but may also be an export carton.

The logistic unit is identified using the SSCC. This packaging level is marked with a GS1 DataMatrix or a GS1-128 linear barcode, either on the packaging itself or on a label affixed to the packaging.

A GS1 DataMatrix or GS1 QR Code symbol MAY be included in addition to the GS1-128 symbol. When used, the GS1 2D symbol SHALL include all element strings included in the GS1-128 symbol(s), and MAY include additional element strings. If a logistic unit does not have at least one surface area greater than an A6 or 4" x 6" logistic label, a GS1 DataMatrix or GS1 QR Code MAY be used by itself on a logistic label, though a GS1-128 containing a SSCC is still recommended. If a logistic label is used with only a GS1 DataMatrix or GS1 QR Code, care must be taken to ensure trading partners are able to scan this barcode.

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7 For more information, see [https://www.gs1.org/standards/barcodes-epc-rid-keys/gs1-general-specifications](https://www.gs1.org/standards/barcodes-epc-rid-keys/gs1-general-specifications)

8 For more information, see [https://www.gs1.org/docs/healthcare/GS1_Healthcare_Implementation_Guideline.pdf](https://www.gs1.org/docs/healthcare/GS1_Healthcare_Implementation_Guideline.pdf)

9 For more information, see GS1 AIDC Healthcare Implementation Guideline, Section 3.5.4, Case/Shipper and Pallet.

10 Ibid.
3.1.2. **Tertiary package trade item**

Trade items are products and services for which there is a need to retrieve predefined information and that may be priced, ordered, or invoiced at any point in the supply chain. The tertiary package trade item will typically be a case or carton but may also be a shrink-wrapped tray or other configuration.

A homogenous pack trade item is identified with a GTIN, batch/lot number, expiration date, and serial number. A mixed or partial pack trade item is identified with an SSCC. When a trade item is a logistic unit, it is not identified with a SSCC. This packaging level can be marked with a GS1-128 linear barcode or a GS1 DataMatrix, with a strong preference for a GS1 DataMatrix, either on the packaging itself or on a label affixed to the packaging.

Examples of tertiary packaging include, but are not limited to:

![Example images of tertiary packaging](image)

3.2. **Secondary packaging**
Secondary packaging is a level of packaging that may contain one or more primary packages, or a group of primary packages containing a single item.\textsuperscript{11} The secondary pack is always a trade item. This packaging level is marked with a GS1 DataMatrix, either on the packaging itself or on a label affixed to the packaging.

Examples of secondary packaging include, but are not limited to:

Trade items subject to the requirements can have more than one level of secondary packaging, such as an inner pack (bundles) and intermediate packs (inner case). Identification and marking of inner and intermediate secondary packaging levels are required.

Examples of inner or intermediary secondary packaging include, but are not limited to:

Example of a GS1 DataMatrix for a trade item on Secondary Packaging

(01) 10857674002017
(17) 251231
(10) NYFUL01
(21) 192A837H7

3.3. Primary packaging

Primary packaging is the first level of packaging that is in direct contact with the item.\textsuperscript{12} This packaging level is marked with a GS1 DataMatrix, either on the packaging itself or on a label affixed to the packaging.

Identification and labeling of trade items at this level is \textbf{optional unless the supplier is providing items in “cartonless packaging,” i.e., without a secondary packaging level.} Marking trade items at this level is preferred where the secondary package will likely be opened

\textsuperscript{11} For more information, see GS1 AIDC Healthcare Implementation Guideline, Section 3.5.3, Secondary Package.
\textsuperscript{12} For more information, see GS1 AIDC Healthcare Implementation Guideline, Section 3.5.2, Primary Package.
or removed before being dispensed to one or several patients (e.g., a display carton is opened, and individual or split blister packs are distributed to patients).

Examples of primary packaging include, but are not limited to:

Example of a GS1 DataMatrix for a trade item on Primary Packaging

(01) 10857674002017
(17) 251231
(10) NYFUL01
(21) 192A837H7

4. Overview of Relevant Global Standards

A summary of the GS1 standards relevant to [NAME OF STATUTORY INSTRUMENT] are described in this section. This document is based on the use of the GS1 General Specifications as the primary reference document for technical specifications to implement in accordance with GS1 global standards. Since changes are regular, the latest version of the GS1 General Specifications should always be considered.

4.1. Identify
The GS1 application identifiers (AIs) referenced in this section are used for identifying items and locations.

4.1.1. AI (00) Serial Shipping Container Code
The GS1 AI (00) indicates that the data field contains an SSCC. The SSCC is used to uniquely identify a logistic unit. The SSCC must remain unique and not be reallocated for a minimum of

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14 For more information, https://www.gs1.org/standards/barcodes-epcrfid-id-keys/gs1-general-specifications

15 For more information, see GS1 General Specifications, Section 3.3.1, Identification of a logistic unit (SSCC): AI (00).
one year from the shipment date of the logistic unit from the SSCC assignor to the trading partner, in accordance with GS1 General Specifications.

The SSCC format is as follows:

<table>
<thead>
<tr>
<th>GS1 Application Identifier</th>
<th>Serial Shipping Container Code (SSCC)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Extension digit</td>
</tr>
<tr>
<td>0 0</td>
<td>N₁</td>
</tr>
</tbody>
</table>

For more information on how to generate an SSCC and apply it to a logistics label, please refer to the GS1 General Specifications and the following resources:


### 4.1.2. AI (01) Global Trade Item Number

The GS1 AI (01) indicates that the data field contains a GTIN. The GTIN is the globally unique GS1 identification number used to identify trade items (i.e., items that may be priced, ordered, or invoiced). GTINs are assigned by the brand owner of the item and are used to identify items as they move through the global supply chain to the hospital or ultimate end user. Reuse of a GTIN is not permitted.

The GTIN can be 8, 12, 13, or 14 digits in length. The format of the GTIN-14 is as follows:

<table>
<thead>
<tr>
<th>GS1 Application Identifier</th>
<th>Global Trade Item Number (GTIN)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>GS1-8 Prefix or GS1 Company Prefix</td>
</tr>
<tr>
<td>0 1</td>
<td>N₁ N₂ N₃ N₄ N₅ N₆ N₇ N₈ N₉ N₁₀ N₁₁ N₁₂ N₁₃ N₁₄</td>
</tr>
</tbody>
</table>

For more information on how to generate and maintain a GTIN, please refer to the GS1 General Specifications and the following resources:

- [http://www.GS1.org/gtin](http://www.GS1.org/gtin)

### 4.1.3. AI (10) batch/lot

For more information, see GS1 General Specifications, Section 3.3.2, Identification of a trade item (GTIN): AI (01).

For more information, see GS1 General Specifications, Section 3.4.1, Batch or Lot Number: AI (10).
The GS1 AI (10) indicates that the data field contains a batch or lot number. The batch/lot number field is alphanumeric.

The format of the batch/lot number is as follows:

<table>
<thead>
<tr>
<th>GS1 Application Identifier</th>
<th>Batch or Lot Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 0</td>
<td>(X_1 \rightarrow \text{variable length} \rightarrow X_{20})</td>
</tr>
</tbody>
</table>

4.1.4. **AI (17) expiration date**

The GS1 AI (17) indicates that the data field contains an expiration date. The structure of the expiration date should be as follows:

- **Year**: the tens and units of the year (e.g., 2003 = 03), which is mandatory
- **Month**: the number of the month (e.g., January = 01), which is mandatory
- **Day**: the number of the day of the relevant month (e.g., second day = 02) if it is not necessary to specify the day, the field must be filled with two zeros

The format of the expiration date is as follows:

<table>
<thead>
<tr>
<th>GS1 Application Identifier</th>
<th>Expiration Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 7</td>
<td>Year (N_1)</td>
</tr>
<tr>
<td></td>
<td>Month (N_3)</td>
</tr>
<tr>
<td></td>
<td>Day (N_5)</td>
</tr>
</tbody>
</table>

4.1.5. **AI (21) serial number**

The GS1 AI (21) indicates that the data field contains a serial number. When combined with a GTIN, a serial number uniquely identifies an individual item. The manufacturer who assigns the GTIN determines the serial number.

The serial number field is alphanumeric. The probability that the serial number can be guessed shall be negligible and, in any case, lower than one in ten thousand. The character sequence resulting from the combination of the GTIN and the serial number will be unique to a given pack of a health commodity until at least one year after the pack’s expiration date or five years after the pack has been released for sale or distribution, whichever is the longer period.

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18 For more information, see GS1 General Specifications, Section 3.4.7, Expiration Date: AI (17).
19 A General Specification Change Notification (GSCN) has been issued that will change the structure of the Expiration date for Healthcare in the next release of the GS1 General Specification. This GSCN will state: “Note: How the day of the month is expressed for regulated healthcare products will change starting 1 January 2025. As of that date, the day of the month SHALL NOT be expressed as two zeros. A valid day of the month (e.g., last day of July = 31) SHALL be include. For more information, see GS1 General Specifications, Section 3.4.7, Expiration Date: AI (17).
20 For more information, see GS1 General Specifications, Section 3.5.2, Serial Number: AI (21).
The format of the serial number is as follows:

<table>
<thead>
<tr>
<th>GS1 Application Identifier</th>
<th>Serial Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>21</td>
<td>$X_1 \rightarrow \text{variable length} \rightarrow X_{20}$</td>
</tr>
</tbody>
</table>

### 4.2. Capture

All tertiary and secondary packages are recommended to be labelled in accordance with the specified barcode requirement, encoded with relevant GS1 Application Identifiers encoded and printed in their Human Readable Interpretation (HRI).

All barcode symbols should meet print-quality “Grade C” (1.5 or above). As part of the regular manufacturing/production process, barcode symbol print quality and data content must be verified and graded in accordance with the appropriate sections within the *GS1 General Specifications*. Many GS1 member organizations provide comprehensive barcode verification services to ensure companies are implementing barcode labelling requirements to specification based on optical and data structure requirements.

#### 4.2.1. GS1-128 barcode

A GS1-128 barcode is a linear barcode symbology using bars and spaces in one dimension. It is a subset of the Code 128 barcode symbology; its use is exclusively licenced to GS1. A linear barcode can be concatenated (i.e., represent all elements of a data string in a single barcode) or non-concatenated (i.e., represent individual elements of a data string over two or more barcodes).

*Example of a GS1-128 barcode for a logistic unit*

![Example barcode for a logistic unit]

*Example of a GS1-128 barcode for a trade item*

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21 For more information, see Ten Steps to GS1 Barcode Implementation User Manual.
22 For more information, see GS1 General Specifications, Section 5.5, Barcode Production and Quality Assessment.
23 For more information, see GS1 General Specifications, Section 5.4, Linear Barcodes—GS1-128 Symbology Specifications.
4.2.2. GS1 DataMatrix

A GS1 DataMatrix is a 2D matrix symbology made up of square modules arranged within a perimeter finder pattern. Two-dimensional imaging scanners or vision systems read DataMatrix symbols.

Example of a GS1 DataMatrix for a logistic unit

Example of a GS1 DataMatrix for a trade item

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24 For more information, see GS1 General Specifications, Section 5.7, Two-dimensional barcodes—GS1 DataMatrix symbology.
5. Supporting Resources

Find a GS1 MO
Provides a resource for finding a GS1 MO to register your company.
https://www.GS1.org/contact/overview

GS1 General Specifications
Serves as the primary document detailing the foundational GS1 standards that define how identification keys, data attributes, and barcodes must be used in business applications.

10 Steps to Barcode Your Product
Provides a step-by-step instruction for implementing AIDC in your products.
http://www.GS1.org/barcodes/implementation

GS1 GTIN Healthcare Allocation Rules
Provides the rules for assigning GTINs to trade items in the health sector.

AIDC Healthcare Implementation Guideline
Provides information on the more technical aspects of implementing AIDC for health care on various levels of packaging.

Global Standards Technical Implementation Guideline for Global Health Commodities
Developed by a set of international procurement agents in the global health community to support suppliers in meeting their AIDC requirements. It includes a number of technical references and a Frequently Asked Questions section that may be useful to trading partners in their implementation.

Strength in Unity: The Promise of Global Standards in Health Care
Summarizes the opportunity for global standards to drive patient safety and supply chain efficiencies in health care.
### Annex A. Glossary of Terms

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>aggregation</td>
<td>Defines the relationship between the unique identifiers for parent and child packaging hierarchies, where each packaging level will carry a unique identifier encoded in a data carrier uniquely identified, allowing the receiver of the product to scan one code and understand exactly what is in the whole shipment—every case, bundle, or individual carton.</td>
</tr>
<tr>
<td>automatic identification and data capture (AIDC)</td>
<td>A technology used to automatically capture data. AIDC technologies include barcodes, smart cards, biometrics, and radio frequency identification devices.</td>
</tr>
<tr>
<td>barcode*</td>
<td>A symbol that encodes data into a machine-readable pattern of adjacent, varying width; parallel, rectangular dark bars; and pale spaces.</td>
</tr>
<tr>
<td>batch/lot*</td>
<td>The batch or lot number that associates an item with production information that the manufacturer considers relevant for traceability of the trade item. The data may refer to the trade item itself or to items contained in it.</td>
</tr>
<tr>
<td>DataMatrix</td>
<td>A standalone, 2D matrix symbology that is made up of square modules arranged within a perimeter finder pattern. DataMatrix symbols are read by 2D imaging scanners or vision systems.</td>
</tr>
<tr>
<td>expiration date</td>
<td>The date up until which the drug manufacturer can guarantee that the medicine is fully potent and safe to take based on scientifically sound product testing.</td>
</tr>
<tr>
<td>Function 1 Symbol Character (FNC1)</td>
<td>When used as the first character, a Function 1 Symbol Character (FNC1) indicates that the barcode follows the GS1 standard allowing the scanner to properly decode it. It is also used as a separator in between specific Application Identifiers that do not have a fixed character count (e.g., AI (10) Batch/Lot, AI (21) Serial Number).</td>
</tr>
<tr>
<td>Global Trade Item Number (GTIN)</td>
<td>The GS1 identification key used to identify trade items. The key comprises a GS1 Company Prefix, an item reference, and check digit.</td>
</tr>
<tr>
<td>GS1</td>
<td>A neutral, not-for-profit, global organization that develops and maintains the most widely used supply chain data standards in the world.</td>
</tr>
<tr>
<td>GS1 Application Identifier</td>
<td>The field of two or more digits at the beginning of an element string that uniquely defines its format and meaning.</td>
</tr>
<tr>
<td>GS1 Member Organization</td>
<td>A member of GS1 that is responsible for administering the GS1 system in its country (or assigned area). This task includes, but is not restricted to, ensuring user companies make correct use of the GS1 system; have access to education, training, promotion, and implementation support; and have an opportunity to play an active role in the Global Standards Management Process.</td>
</tr>
<tr>
<td>GS1-128 linear barcode</td>
<td>A barcode symbology using bars and spaces in one dimension that leverages a subset of Code 128 which uses the function that allows the encoding of element strings.</td>
</tr>
<tr>
<td>health care primary packaging</td>
<td>The first level of packaging for the product marked with an AIDC data carrier either on the packaging or on a label affixed to the packaging. For non-sterile packaging, the first level of packaging can be in direct contact with the product. For sterile packaging, the first level of packaging can be any combination of the sterile packaging system and may consist of a single item or group of items for a single therapy, such as a kit. For packaging configurations that include a</td>
</tr>
<tr>
<td>Term</td>
<td>Definition</td>
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<td>-------------------------------------</td>
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<tr>
<td>retail consumer trade item</td>
<td>primary packaging is a packaging level below the retail consumer trade item.</td>
</tr>
<tr>
<td>health care secondary packaging</td>
<td>A level of packaging marked with an AIDC carrier that may contain one or more primary packages or a group of primary packages containing a single item.</td>
</tr>
<tr>
<td>Human Readable Interpretation (HRI)</td>
<td>Characters, such as letters and numbers, that can be read by persons and are encoded in GS1 AIDC data carriers confined to a GS1 standard structure and format. The HRI is a one-to-one illustration of the data encoded in a data carrier. However, start, stop, shift, and function characters, as well as the symbol check character, are not shown in the HRI.</td>
</tr>
<tr>
<td>logistic unit</td>
<td>An item of any composition established for transport and/or storage of pharmaceuticals that needs to be managed through the supply chain. It is identified with an SSCC.</td>
</tr>
<tr>
<td>package</td>
<td>Any article that may be used for filling, inserting, or wrapping or packing regulated products and includes the immediate container and other wrapping materials.</td>
</tr>
<tr>
<td>pharmaceutical</td>
<td>Any substance or mixture of substance that: a) Is used in the diagnosis, treatment, mitigation, or prevention of human disease, disorder, abnormal physical or mental state, or the symptoms thereof b) Is used in restoring, correcting, or beneficial modification of organic or mental functions in humans c) Is articles other than food, intended to affect the structure or any function of the body of humans d) Includes articles intended for use as a component of any articles specified in clause a), b), or c)</td>
</tr>
<tr>
<td>serial number</td>
<td>A numeric or alphanumeric sequence of a maximum of 20 characters, generated by a deterministic or a non-deterministic randomization algorithm.</td>
</tr>
<tr>
<td>Serial Shipping Container Code (SSCC)</td>
<td>The GS1 identification key used to identify logistics units. The key comprises an extension digit, GS1 Company Prefix, serial reference, and check digit.</td>
</tr>
<tr>
<td>tertiary homogenous pack</td>
<td>A tertiary pack that consists entirely of the same trade item with the same batch number and expiration date.</td>
</tr>
<tr>
<td>tertiary mixed pack</td>
<td>A tertiary pack that contains either more than one unique trade item or entirely the same trade item with different batch numbers or expiration dates.</td>
</tr>
<tr>
<td>tertiary packaging</td>
<td>The highest level of packaging that may include a pallet that contains (one or usually) several cases or a case that contains (one or usually) several items in its primary or secondary packaging. Tertiary packaging may refer to either a logistic unit or a trade item.</td>
</tr>
<tr>
<td>tertiary partial pack</td>
<td>A homogenous pack of products that is not to be considered a trade item because it is less than full.</td>
</tr>
<tr>
<td>trade item</td>
<td>Any item (product or service) upon which there is a need to retrieve predefined information and that may be priced, ordered, or invoiced at any point in any supply chain.</td>
</tr>
<tr>
<td>unique identifier</td>
<td>A numeric or alphanumeric string captured in a machine-readable data carrier and human-readable form on the label of the pharmaceutical package that is associated with a single product or product group. In this instance, unique identifier refers to the combination of GTIN with Expiration Date, Batch/Lot and serial number.</td>
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</tbody>
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